



## ARIZONA DEPARTMENT OF REAL ESTATE

2910 N 44<sup>th</sup> St, Ste 140, Phoenix, AZ 85018  
(602) 771-7700

400 W Congress, Ste 523, Tucson, AZ 85701  
(520) 628-6940

### ORIGINAL LICENSE APPLICATION QUESTIONS & CERTIFICATION OF ANSWERS, FORM LI-214

The Arizona Department of Real Estate ("Department") requires you to provide information to determine your qualifications and suitability to hold an Arizona Real Estate License, a Cemetery License, or a Membership Camping License, under Arizona Revised Statutes, Title 32, Chapter 20, and Title 4, Chapter 28, of the Arizona Administrative Code.<sup>(1)</sup>

You must answer these questions and submit this completed form to the Department with your Application for License. If you do not answer **EVERY** question, your Application will be considered incomplete and it will be returned to you unprocessed.

*Under A.R.S. § 32-2108.01, the Department is required to fingerprint any natural person applying for a license and, when the applicant is an entity, any person exercising control of the entity who has not previously submitted a fingerprint card to the Department. The term "license" means the whole or part of any agency permit, certificate, approval, registration, public report, charter or similar form of permission required from the Department.*

Be certain you understand the questions and that your answers are correct. Failure to answer these questions truthfully may result in disciplinary action including, but not limited to, suspension or revocation.<sup>(2)</sup> In addition, you may be subject to a civil penalty.<sup>(3)</sup>

If you answer "yes" to any question numbered 1-14, please provide a signed, detailed statement describing the facts and circumstances, including the date, time and location of the incident or event. You must also provide the documents identified on the Document Checklist, Form #LI-400, available from the Arizona Department of Real Estate offices or on its web page, [www.azre.gov](http://www.azre.gov) for the type of incident, offense, crime, judgment, or other action. You may include exculpatory or mitigating information, any evidence of rehabilitation, and any appropriate statement of remorse and acceptance of responsibility for the prior conduct.

1. In the past 10 years, have you had a professional or occupational license or registration of any kind denied, suspended, restricted or revoked? ☐ Yes ☐ No
2. In the past 10 years, have you had an administrative order or any other disciplinary action taken against any license issued to you by any local, state, or federal regulatory agency? ☐ Yes ☐ No
3. In the past 10 years, have you voluntarily surrendered any license during the course of an investigation or disciplinary proceeding? ☐ Yes ☐ No
4. In the past 10 years, have you entered into any consent decree, or had an injunction (either temporary or permanent), a suspension, an order, or a judgment issued which prohibited or restricted you from engaging in any profession or occupation? ☐ Yes ☐ No
5. In the past 10 years, have you had any judgment or order entered against you by any court involving fraud, dishonesty, misrepresentation, unfair trade practice, or moral turpitude? ☐ Yes ☐ No
6. In the past 10 years, have you had any judgment or order entered against you by any court arising out of the conduct of any business in real estate, cemetery property, timeshare intervals, or membership campgrounds? ☐ Yes ☐ No
7. In the past 10 years, have you had any Subdivision Public Report or Registration to Sell real estate, timeshares, cemetery lots, or campground memberships denied or suspended? ☐ Yes ☐ No
8. Has any real estate recovery fund, or similar fund, ever made a payment that was charged against you or against a business for which you were the qualifying party? ☐ Yes  
☐ No
9. Are there any disciplinary hearings, or other administrative actions pending against ANY professional or occupational licenses you hold in Arizona or in any other state? ☐ Yes ☐ No
10. Have you **ever** held ownership interest of ten percent or more or exercised control in any business, corporation, partnership, or limited liability company that would have to answer "Yes" to questions #1 through #9? ☐ Yes ☐ No  
If your answer is "Yes", list all of these businesses and your affiliation with each of them on a separate page and identify which question(s) would require a "yes" answer.

***If you answered "Yes" to any Question #1 through #10, submit a current, certified license history from the licensing agency of each state in which you or the business(es) you identified in response to Question #10, is(are) now licensed or in which you or the business(es) were licensed at any time during the past five years.***

**IMPORTANT NOTE: Please read this carefully**

You must disclose a conviction even if it was a result of a plea agreement or a plea of *nolo contendere* (no contest). You must disclose all convictions whether or not the plea or verdict was set aside, judgment or sentencing was deferred, the conviction against you was dismissed or expunged, or if you have been pardoned.

11. Have you **ever** been convicted of any **felony** in Arizona or any other state? ☐ Yes ☐ No
12. In the past 10 years, have you been convicted of any **misdemeanor** in Arizona or any other state? ☐ Yes ☐ No  
***Note: You do not need to report minor traffic citations, which do not constitute a misdemeanor or felony offense. Conviction of D.U.I is not a minor traffic citation and must be reported.***
13. Are you currently in a deferred period or a diversion program, or convicted of a Class 6 undesignated offense which has not yet been designated as a felony or misdemeanor to date of this application? ☐ Yes ☐ No
14. Are you currently incarcerated, paroled, or on probation because of any conviction? ☐ Yes ☐ No

\* \* \* \* \*

- A. Have you (as a natural person, a professional corporation, or professional limited liability company, owner of ten percent or more greater interest, or person exercising control in any business, corporation, partnership, or limited liability company) **ever** held or applied for a license of any kind issued by the Department? ☐ Yes ☐ No  
If the answer is "Yes," list on a separate page the name under which the license was licensed, the type of license, and the year in which you applied for or were issued that license.
- B. In the past five (5) years, have you been licensed as a salesperson or broker in any state **other than** Arizona? ☐ Yes ☐ No  
If the answer is "Yes," submit on a separate page a list of the state(s) in which you are licensed or in which you have been licensed at any time during the past five years.

**CERTIFICATION OF ANSWERS**

I certify, under penalty of perjury under the laws of the State of Arizona, that the foregoing answers and statements given in this application and any statement that I have attached are true and correct. I certify that at the time of the issuance of license I will be 18 years of age or older. I certify that, if licensed, I will not violate any provisions of the Real Estate Law nor abuse the privileges of a license.

\_\_\_\_\_  
**Printed Name of Applicant**

\_\_\_\_\_  
**Signature of Applicant**

\_\_\_\_\_  
**Date**

Upon approval of the application, a certification of licensure will be issued and mailed to your employing broker if you are actively licensed. The Department does not issue certificates of licensure to inactive status licensees.

A.R.S. §§ 32-2123 (B)(4), (5) &(6), 32-2108 and A.A.C. R4-28-301; (2) A.R.S. § 32-2153 (B) (1); and (3) A.R.S. § 32-2160.01.

**"AN EQUAL EMPLOYMENT OPPORTUNITY AGENCY"**

Persons with disabilities who need this document in an alternative format should contact  
The Department at 602.771-7700 or [IADA@azre.gov](mailto:IADA@azre.gov) to make their needs known.